



City of Rock Hill
2023-2024 Annual Action Plan

Community Development Block Grant Program

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Community Development Block Grant Program Year 2023 Annual Action Plan represents the fourth year of the City's 2020-2024 Consolidated Plan (Con Plan) as ratified by the City Council and approved by HUD. The Annual Action Plan is the City of Rock Hill's application for the U.S. Department of Housing and Urban Development (HUD) entitlement grants and identifies the proposed programs and projects to be funded during the City's Fiscal Year (FY) 2024. The Annual Action Plan has been prepared by the City's Community Development Division.

The primary objective of the Community Development Block Grant (CDBG) program is the development of viable urban communities through the provision of improved living environments, expansion of economic opportunity and decent housing. Funds are intended to serve low to moderate income residents and areas. The Annual Action Plan identifies how the City of Rock Hill proposes to utilize these funds in the upcoming fiscal year to address the goals and priorities for community development, housing, and public services as described in the Con Plan. The plan also describes other projects and programs that leverage those funded by CDBG and further support the City's efforts to address its goals and priorities as identified in the Con Plan.

The Annual Action Plan also includes activities to address impediments to Fair Housing as identified in the *City of Rock Hill's 2020-2024 Analysis of Impediments to Fair Housing*. During Program Year 2023, the City will continue to provide education and outreach to housing consumers and property managers regarding fair housing. For example, in 2022-2023 the City's Housing and Community Development Division continued providing their First-Time Home Buyer class as a virtual option and has held 7 sessions of a ½ day First Time Homebuyer in-person workshop. Through these sessions they have educated a total of 154 first time homebuyers on the Fair Housing Act. The City of Rock Hill also presented at an event for Fair Housing called "Fighting for a Fairer Future" on April 22, 2023. This information reached more than 60 people at the event. In addition, the City of Rock Hill's local television channel will continue to share information about the City's Fair Housing Hotline on a daily basis.

The Con Plan sets goals to be achieved over a five-year period and identifies a list of funding priorities based on the needs of the community. The 2020-2024 Con Plan goals represent high priority needs for the City of Rock Hill and serve as the basis for the programs and activities identified in the 2022-2023 Annual Action Plan. The current Con Plan goals are listed below:

Goal 1: Improve public infrastructure

Goal 2: Increase affordable housing opportunities

Goal 3: Maintain existing affordable housing

Goal 4: Alleviate homelessness

Goal 5: Support public service programs

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

For details regarding the objectives and outcomes identified in the Con Plan and this Annual Action Plan please refer to sections AP-20 (Annual Goals and Objectives), AP-38 (Projects), SP-25 (Priority Needs) and SP-45 (Goals Summary).

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Rock Hill recognizes that the evaluation of past performance is critical to ensuring the City and its sub-recipients are implementing activities effectively and that those activities align with the City's overall strategies and goals; therefore, the City continues to regularly monitor and evaluate the performance of the City's CDBG Program. The information below highlights some of the accomplishments in the areas of community development during the 2020-2024 Consolidated Plan (Con Plan) period:

Nine (9) households have received assistance with household repairs through the Owner-Occupied Rehabilitation Program. Repairs include eligible housing deficiencies like lead paint tests, water heater issues and mitigation of environmental hazards.

One (1) property was acquired through the acq/rehab program for the purpose of creating future affordable rental for the goal of permanent supportive housing.

Five (5) households received down-payment assistance through the First-Time Homebuyers Program and 154 people participated in First-Time Homebuyer Education Classes held during the current Con

Plan period. These classes include fair housing information, credit counseling and home purchase training.

During the current Con Plan period, SPARKS has served 16,039 disabled and/or low to moderate income children, youth, and adults through Camp Arc, Kinder Arc, Rec-in-a-Box, and the Emmett Scott Afterschool Program.

The Parks Recreation and Tourism Department completed rehabilitation work at the Cedar Crest Park which entailed a new rubber fall surface and new play equipment for the community.

The Catawba Area Coalition for the Homeless (CACH) provided funding for agencies to support their homeless services through hotel/motel voucher funding. Three (3) agencies were able to provide hotel/motel vouchers to 48 individuals to ensure they were not sleeping on the streets, while getting them into the coordinated entry system.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City of Rock Hill recognizes that citizen participation and consultation are integral parts of the development of the Annual Action Plan. The City obtains recommendations regarding the allocation of funds to meet the Con Plan goals from citizens, various departments within the City, private and public agencies, and various committees focused on the needs of the citizens, especially citizens with low to moderate income. The CDBG Program Administrator held a CDBG application session on January 31, 2023 for all City of Rock Hill Departments. Five (5) Different departments attended and seven (7) applications for funding were received. The City facilitated an in person Public Hearing on March 16, 2023 to solicit feedback from citizens on housing and community development needs that should be included in the application for CDBG funds. Funding recommendations were presented to the Mayor, City Council and City Management at the City Council Meeting held on March 13, 2023. Following the City Council Meeting, a 30-day public comment period was held from April 5 – May 5, 2023. Public notice regarding the public comment period and the public hearing were advertised in the local newspaper, *The Herald*, posted on the City's website, and posted in City Hall, Neighborhood Services, and the Housing Authority of Rock Hill. The 2023 Annual Action Plan was presented and finalized at the City Council Meeting held on May 8, 2023. All meetings are open to the public and agendas are distributed via hardcopy. All of this is in accordance with the City's Citizen Participation Plan. For details regarding the City's efforts to broaden public participation please refer to AP-12 (Participation).

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The in person public hearing had one (1) participant from the community attend. The participant was given information regarding CDBG and what the yearly allocation was. This community member stressed the desire for more funding to go toward private developments from City dollars. During the public comment period the City received comments from 21 individuals regarding the CDBG funding. These comments are summarized in the attached document. During both City Council meetings Council addressed the desire to continue putting funding toward the Catawba Area Coalition for the Homeless as well as the Clinton Connexion area with CDBG funds.

6. Summary of comments or views not accepted and the reasons for not accepting them

During the public comment there was a comment regarding not funding the Tools Fork Watershed Project, this was not accepted because this is a small amount of CDBG funds that are being used to leverage larger amounts of money from the County. Stormwater fees are not adequate to pay for all projects as well as staff costs, therefore more funding was needed for this plan.

Another comment that was received and not accepted was capping the FTHB program to \$5,000 per recipient. While most recipients do receive \$5,000, there is an active “heros” program that the HDCRH is implementing that can award up to \$10,000 of DP/CC assistance for individuals in “helping” field. This is in direct response to the need for more workforce housing opportunities for those in this income bracket and job focus.

7. Summary

As a rapidly growing community, the City of Rock Hill must successfully balance an array of housing and community development issues. Given the range of competing needs, the City must invest its limited public resources wisely. In response to the needs and priorities identified during the assessment portion of this process, the City’s strategic plan details the overall goals for addressing the area’s housing and community development needs for the next five years.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	ROCK HILL	City Management/ City of Rock Hill

Table 1 – Responsible Agencies

Narrative (optional)

The City of Rock Hill’s Housing and Community Development Division is responsible for the administration of the CDBG program including preparation of the Consolidated Plan and oversight of sub-recipients. The City has a Sub-Recipient Agreement with the Housing Development Corporation of Rock Hill and the Catawba Area Coalition for the Homeless regarding the administration of CDBG funds. In accordance with the terms of this agreement, both agencies act as sub-recipients carrying out the administrative functions associated with their respective activities.

Consolidated Plan Public Contact Information

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AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City's consultation strategies include forming community partnerships with public and assisted housing providers, private and governmental health and mental health providers, and other service agencies. The City's partnerships with the Housing Development Corporation of Rock Hill, the Housing Authority of Rock Hill, the Catawba Area Coalition for the Homeless, and the Rock Hill Economic Development Corporation are at the core of the City's consultation process. City staff met regularly with staff from various organizations to develop the priorities and strategies in this Annual Action Plan.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

When developing the Con Plan and subsequent Annual Action Plans, the City consults with elected officials, City departments, community stakeholders, and beneficiaries of entitlement programs to develop the priorities and strategies contained within these plans. As part of the effort to implement the policies, programs and projects identified in the Con Plan, the City's staff work collaboratively with staff from various public and assisted housing providers and health and service agencies including the Housing Development Corporation of Rock Hill, the Housing Authority of Rock Hill, and the more than 20 local service agencies that form the Catawba Area Coalition for the Homeless (CACH). The City's outreach and consultation strategies include individual contact with representatives from the various agencies and regular attendance of agency meetings to inform the development of the Con Plan. Specifically, attendance of monthly CACH meetings offers the opportunity to receive input from agencies providing services in the following areas: public and assisted housing, mental health, veteran affairs, homelessness, poverty, substance abuse, education, and healthcare.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The City of Rock Hill and the Housing Development Corporation of Rock Hill (HDCRH) are members of the Midlands Area Consortium for the Homeless (MACH), a non-profit organization and U.S. Department of Housing and Urban Development (HUD) registered Continuum of Care (CoC) designed to provide a community solution to homelessness. MACH was established in 1994 and represents 14 counties across the Midlands of South Carolina. Continuum of Care funding is utilized by local communities to provide housing and supportive services to homeless individuals and families. The City of Rock Hill's CDBG Administrator serves on the MACH board and regularly attends MACH meetings. The City of Rock Hill has benefitted from coordination with MACH in various ways including a shared coordinated assessment

tool and donated resources for our local shelters. Representatives from MACH also regularly attend monthly meetings of the local coalition for the homeless.

The Catawba Area Coalition for the Homeless (CACH) was formed in 2007 and is comprised of more than 25 local non-profits and faith-based agencies committed to addressing the issue of homelessness in the city of Rock Hill and surrounding areas. With support from the City, CACH became formally incorporated as an organization and received its 501(c)(3) designation in 2017. With the assistance of CDBG funds, CACH was able to update their 10 year plan in 2019. In response CACH created four (4) workgroups focused on methods for tackling homelessness in the Catawba Area. These workgroups include:

1. Innovate: Collect and report on data, and promote evidence-based pilot initiatives, including funding strategies. Research and present creative evidenced-based solutions to issues surrounding homelessness.
2. Collaborate: Foster relationships and partnerships with diverse groups to share expertise, perspectives, and resources to support the strategic plan. Engage with a wider community base to share perspectives, circulate information, problem solve, and develop resources.
3. Educate: Raise the collective knowledge about homelessness among stakeholders, community members, and partners. Work on creating educational opportunities to equip people with compassionate tools and best practice to end homelessness.
4. Advocate: Develop and promote a policy agenda based on the goals outlined in the strategic plan and evidenced based interventions from the innovate workgroup. Inform policymakers about policy changes that need to be addressed for the wellbeing of individuals who are homeless.

Out of these workgroups came a The Summary on Housing and Homelessness in York County which defines homelessness, permanent supportive housing, and affordable housing, analyses the demographics and circumstances surrounding homelessness in York County including the Area Median Income and Fair Market Rent, and discusses the CoC and capacity and availability of shelter in the area. It analyzes the strengths, weaknesses, and opportunities within our current structure in order to map out the current system, identify gaps, and make recommendations to close the gaps.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Rock Hill is not a recipient of Emergency Shelter Grant (ESG) funds and is not responsible for the administration of HMIS. However, the City's affordable housing partner, the Housing Development Corporation of Rock Hill (HDCRH), does receive both ESG and CoC funds. HDCRH uses ESG funds to provide homelessness prevention in the form of short and medium term rental and utility assistance to individuals and/or families at imminent risk of becoming homeless. MACH awarded CoC funds to HDCRH

to re-establish a Rapid Re-Housing Program to serve the Rock Hill community. The Rapid Re-Housing Program successfully served 9 families in Rock Hill, providing a rental subsidy for up to 24 months in conjunction with other services. The HDCRH was awarded 2023 CoC funding to continue this RRH program in York County.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	City of Rock Hill
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-Homeless Special Needs Market Analysis Economic Development Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The Housing and Community Development Division consulted with various City Departments individually and in working groups to develop and implement the Con Plan and subsequent Annual Action Plans (AAPs).
2	Agency/Group/Organization	Housing Development Corp of Rock Hill
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	City of Rock Hill staff consulted with representatives of HDCRH individually and in working groups to develop and implement affordable housing goals and strategies for the Con Plan and subsequent AAPs.
3	Agency/Group/Organization	Catawba Area Coalition for the Homeless
	Agency/Group/Organization Type	Services-homeless Local Coalition

	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	City of Rock Hill staff consulted representatives of the various agencies that comprise CACH. In addition, City staff attended monthly CACH meetings and working groups with CACH leaders in order to develop and implement homelessness strategies for the Con Plan and subsequent AAPs.
4	Agency/Group/Organization	HOUSING AUTHORITY OF ROCK HILL
	Agency/Group/Organization Type	PHA
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	City of Rock Hill staff consulted with the Director of the Public Housing Authority of Rock Hill to discuss public housing needs and strategies to address those needs. In addition, the City works closely with the Housing Authority on Section 3 requirements and the Analysis of Impediments to Fair Housing.
5	Agency/Group/Organization	Rock Hill Economic Development Corporation
	Agency/Group/Organization Type	Planning organization Civic Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	City of Rock Hill staff consulted with the Director of the Economic Development Department to discuss economic development opportunities in the City of Rock Hill that CDBG funds could be utilized for.

Identify any Agency Types not consulted and provide rationale for not consulting

The City of Rock Hill consulted with all agency types actively involved in service provision and community development in Rock Hill.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Midlands Area Consortium for the Homeless	The Strategic Plan includes information and goals from the MACH Plan.
The Summary of Housing and Homelessness in York Co	Catawba Area Coalition for the Homeless	The plan includes information and goals from the CACH 10 year plan as well as the Summary on Housing and Homelessness in York County
Comprehensive Plan	City of Rock Hill	The Strategic Plan includes information and goals from the City of Rock Hill's Comp Plan.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

AP-12 Participation – 91.105, 91.200(c)

**1. Summary of citizen participation process/Efforts made to broaden citizen participation
Summarize citizen participation process and how it impacted goal-setting**

The primary goal of the City of Rock Hill’s Citizen Participation Plan is to provide all citizens of Rock Hill with adequate opportunity to participate in an advisory role in the planning, implementation, and assessment of the City of Rock Hill’s CDBG program. In 2022 citizen participation was at the highest it has been in the past 3 years. Many community members seemed interested in CDBG funding and about the projects it was being spent on. The Catawba Area Coalition for the Homeless began holding all their meetings in person again, and CDBG funding is mentioned at these meetings with feedback requested from members, public participation meetings were also posted on CACH social media accounts and emailed to all members.

An in person public hearing was held on Thursday, March 16, 2022 at 3pm. Notice of the public hearing was posted in the local newspaper, The Herald, as well as on the City’s website and message boards throughout City Hall. Please see the attached notice. The 2023-2024 Annual Action Plan (AAP) was brought before City Council on Monday, March 13, 2023. The proposed AAP was published for review on Wednesday, April 5, 2023. A 30-day citizen comment period began on Wednesday April 5, 2023 and ended Friday May 5, 2023. The AAP was brought before City Council for final approval on Monday, May 8, 2023.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Newspaper Ad	Non-targeted/broad community	No responses were received.	N/A	N/A	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Internet Outreach	Non-targeted/broad community	Comments were received by two separate entities.	<p>During the public comment period the City received comments from 21 individuals regarding the CDBG funding. These comments are summarized in the attached document.</p> <p>During both Annual Action Plan 2023 City Council meetings Council addressed the desire to</p>	<p>During the public comment there was a comment regarding not funding the Tools Fork Watershed Project, this was not accepted because this is a small amount of CDBG funds that are being used to leverage larger amounts of money from the County. Stormwater fees are not adequate to pay for all projects as well as staff costs, therefore more funding was needed for this plan. Another comment that was received and not accepted was capping the FTHB program to \$5,000 per recipient. While</p>	<p>https://www.cityofrockhill.com/departments/economic-urban-development/community-development/community-development-block-grant/community-development-bl</p>

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Hearing	Non-targeted/broad community	1 person was in attendance.	Comments received regarding CDBG helping fund private development.	It is not the goal of the City of Rock Hill or CDBG to put funding toward private developments.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

The City of Rock Hill will receive an annual allocation of \$533,380 in CDBG Entitlement funds for the 2023-2024 Program Year (PY). The City does not expect to generate program income during PY 2023.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	533,380	0	0	533,380	1,099,120	Over the past five (5) years, the City of Rock Hill has received an average annual allocation of \$565,740.
Other	public - federal	Other	0	0	0	0	0	

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Leverage, in the context of the City’s CDBG programs, means other local, state, and federal financial resources used to maximize the reach and impact of the City’s CDBG Program. The CDBG program does not typically have a matching requirement; however, HUD encourages the recipients of federal funding to demonstrate that efforts are being made to strategically leverage additional funds in order to achieve greater results. Funds will be considered leverage if financial commitments toward the costs of a project from a source, other than the originating HUD Program, are documented.

During the 2023-2024 Program Year, the City will leverage CDBG funds with the following financial resources:

Neighborhood Services will have a new project called their Neighborhood Signs Matching Grant. This project will focus on older neighborhoods that do not have HOA’s or the financial capacity to maintain or improve their neighborhood entrances. This project will require a match that can be either financial or volunteerism based in order to improve the neighborhood signs in LMI census tract neighborhoods.

The Stormwater Division will be leveraging CDBG funding with County funding toward the Tools Fork Watershed Project. This project is to complete a watershed plan for the Tools Fork watershed, which drains into the Catawba River.

HDCRH received Small Rental Development Program (SRDP) funds in the form of HOME, National Housing Trust, and State Housing Trust to leverage CDBG funds for the Catawba Terrace Neighborhood Expansion Project. The amount of these funds is \$8,187,753.00. This project will result in 33 housing units in the Catawba Terrace Neighborhood including five (5) townhomes, 10 single-family homes, and nine (9) duplexes or 18 housing units. HDCRH is also committing \$100,000 to this project.

The City of Rock Hill and HDCRH also received \$12,550 in funding from the South Carolina Housing Trust Fund to use in conjunction with CDBG funds to provide financial assistance for owner-occupied rehabilitation, emergency repair, and acquisition of affordable housing for low- to moderate-income households. An additional \$6,469.20 in labor costs were leveraged because of the Owner-Occupied Rehabilitation Program. The City also uses general funds to leverage CDBG funds for the “Rolling in Rock Hill” Program.

City of Rock Hill Brownfields projects will be leveraged with an EPA brownfields Assessment grant in the amount of \$300,000 and with an EPA

Brownfields Clean-up Grant of \$508,000.

The Rock Hill Economic Development Corporation (RHEDC) combines corporation funds with grant funds from organizations like Family Trust and United Way of York County to leverage CDBG funds and match the amount paid by businesses to support internships through the Talent Pipeline Program.

When CDBG funds are used for infrastructure projects, they typically make up a small percentage of the overall project budget. The City leverages CDBG funds with various funding sources including Department of Transportation grants, local sales tax programs, and the City's General Fund. In addition, City of Rock Hill employees perform the labor for certain CDBG funded infrastructure projects in order to decrease the total cost of the project, which is the case for infrastructure projects in Catawba Terrace and Sunset Park Neighborhoods.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The Housing Development Corporation of Rock Hill (HDCRH) is a subrecipient of the City's CDBG funding and partners with the City to accomplish affordable housing goals. One of HDCRH's goals is to increase the affordable housing stock available in the urban core of Rock Hill. In order to accomplish this goal, HDCRH purchases available lots within targeted neighborhoods to prepare for future home construction. In addition, HDCRH purchases condemned/demolished properties to eliminate blight and prepare the land for future home construction.

Discussion

Not Applicable.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Maintain Existing Affordable Housing	2020	2024	Affordable Housing	City of Rock Hill	Affordable Housing	CDBG: \$180,000 CDBG-CV: \$0	Homeowner Housing Rehabilitated: 30 Household Housing Unit
2	Increase Affordable Housing Opportunities	2020	2024	Affordable Housing	City of Rock Hill	Affordable Housing	CDBG: \$70,000 CDBG-CV: \$0	Direct Financial Assistance to Homebuyers: 12 Households Assisted
3	Alleviate Homelessness	2020	2024	Homeless	City of Rock Hill	Homelessness	CDBG: \$25,000 CDBG-CV: \$0	Homelessness Prevention: 48 Persons Assisted
4	Support Public Service Programs	2020	2024	Non-Housing Community Development	City of Rock Hill	Non-Housing Community Development	CDBG: \$25,000 CDBG-CV: \$0	Public service activities other than Low/Moderate Income Housing Benefit: 6004 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Improve Public Infrastructure	2020	2024	Non-Housing Community Development	City of Rock Hill	Non-Housing Community Development	CDBG: \$197,219 CDBG-CV: \$0	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 6040 Persons Assisted
6	Administration and Planning	2020	2024	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	City of Rock Hill	Non-Housing Community Development	CDBG: \$36,161 CDBG-CV: \$0	Other: 2 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Maintain Existing Affordable Housing
	Goal Description	During the 2023-2024 Program Year, the City of Rock Hill will use \$180,000 in CDBG funds to preserve and maintain existing affordable housing. The City will work with the Housing Development Corporation of Rock Hill (HDCRH) to identify properties, qualify low- to moderate-income homeowners, and provide rehabilitation services to approximately 30 housing units.

2	Goal Name	Increase Affordable Housing Opportunities
	Goal Description	During Program Year 2023-2024, the City of Rock Hill will use \$70,000 in CDBG funds to increase affordable housing opportunities for low- to moderate-income households. The City will work with the Housing Development Corporation of Rock Hill (HDCRH) to provide down payment and closing cost assistance to twelve (12) first time homebuyers that qualify as low- to moderate-income.
3	Goal Name	Alleviate Homelessness
	Goal Description	
4	Goal Name	Support Public Service Programs
	Goal Description	During Program Year 2023-2024, the City of Rock Hill will use approximately \$25,000 in CDBG funds to support the City's Parks Recreation and Tourism (PRT) Department's SPARKS programs. These after school and summer programs including Camp Arc and Emmet Scott's After School Program provide recreational and educational services to children, youth, and adults with low- to moderate-income and/or special needs.
5	Goal Name	Improve Public Infrastructure
	Goal Description	During the 2023-2024 Program Year, the City of Rock Hill will use \$172,219 in CDBG funds to continue to make payments on the HUD Section 108 Loan that was used to complete infrastructure improvements to the Saluda Street Corridor. An additional \$15,000 in CDBG funds will be used for the Osceola Expansion Infrastructure Improvements project in order to pay for more engineering work that is needed. Finally, the City of Rock Hill will use \$10,000 to complete a neighborhood signs matching grant, which will provide funding for neighborhoods to improve signage.
6	Goal Name	Administration and Planning
	Goal Description	During the 2023-2024 Program Year, the City of Rock Hill will utilize \$10,000 to support the administration of the CDBG grant. Additionally, \$26,161 will be used to complete a watershed plan for the Tools Fork watershed, which drains into the Catawba River.

Projects

AP-35 Projects – 91.220(d)

Introduction

The Consolidated Plan (Con Plan) sets goals and strategies to be achieved over a five-year period and identifies a list of funding priorities. The 2020-2024 Con Plan goals below represent high priority needs for the City and serve as the basis for the projects and activities identified in the PY 2023-2024 Annual Action Plan.

- Goal 1: Maintain Affordable Housing
- Goal 2: Increase Affordable Housing Opportunities
- Goal 3: Alleviate Homelessness
- Goal 4: Support Public Service Programs
- Goal 5: Improve Public Infrastructure

The following table summarizes the allocation priorities and projects the City will use to achieve the goals listed above during the 2023 Program Year.

Projects

#	Project Name
1	Planning and Administration
2	Section 108 Loan Repayment
3	Owner Occupied Rehabilitation
4	First Time Homebuyer
5	SPARKS
6	CACH- Homeless Assistance
7	REDI Neighborhood Infrastructure Improvements
8	Southside Home Improvement Program
9	Neighborhood Signs Matching Grant

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Genetrefication has been an ever increasing issue for the City of Rock Hill. Adding more funding to owner occupied rehab is part of the City of Rock Hill's goal for combating this issue.

AP-38 Project Summary
Project Summary Information

1	Project Name	Planning and Administration
	Target Area	City of Rock Hill
	Goals Supported	Administration and Planning
	Needs Addressed	Non-Housing Community Development
	Funding	CDBG: \$36,161
	Description	Administration of CDBG Program. In addition, the Stormwater Division will complete a watershed plan for the Tools Fork watershed, which drains into the Catawba River, directly impacting Rock Hill.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	This plan will help many of the people that live in the City of Rock Hill. It will focus on the LMI area's, census tracts 614.03, 614.04, 609.04, 609.09, 609.08, 605.01, 605.02, 604.01.
	Location Description	These activities will take place in the City of Rock Hill.
	Planned Activities	CDBG Administration Complete a watershed plan for the Tools Fork Watershed, which drains into the Catawba River, directly impacting Rock Hill. This plan will identify flooding and pollutant sources, establish common water quality goals, and implement local and regional scale projects and best management practices.
2	Project Name	Section 108 Loan Repayment
	Target Area	City of Rock Hill
	Goals Supported	Improve Public Infrastructure
	Needs Addressed	Non-Housing Community Development
	Funding	CDBG: \$172,219
	Description	Repayment of Section 108 Loan through 2026.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	6,000 low to moderate income families
	Location Description	The City of Rock Hill
	Planned Activities	Repayment of Section 108 Loan

3	Project Name	Owner Occupied Rehabilitation
	Target Area	City of Rock Hill
	Goals Supported	Maintain Existing Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$140,000
	Description	Rehabilitation assistance to low- to moderate-income homeowners. Funding assistance will be provided to repair single-family, owner-occupied homes with eligible housing deficiencies including electrical system hazards, plumbing hazards, structural system failures (porches, steps, and roofs), mitigation of environmental hazards, and repairs to prevent displacement of eligible households.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Rehabilitated: 22 Household Housing Unit low to moderate income families.
	Location Description	City of Rock Hill
Planned Activities	Rehabilitation assistance to low- to moderate-income homeowners. Funding assistance will be provided to repair single-family, owner-occupied homes with eligible housing deficiencies including electrical system hazards, plumbing hazards, structural system failures (porches, steps, and roofs), mitigation of environmental hazards, and repairs to prevent displacement of eligible households.	
4	Project Name	First Time Homebuyer
	Target Area	City of Rock Hill
	Goals Supported	Increase Affordable Housing Opportunities
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$70,000
	Description	Down Payment and Closing Cost Assistance for first time homebuyers to purchase an existing unit.
	Target Date	6/30/2024

	Estimate the number and type of families that will benefit from the proposed activities	Twelve (12) low to moderate income families assisted with Down payment and closing cost assistance.
	Location Description	City of Rock Hill
	Planned Activities	Provides down payment/closing cost assistance to low- to moderate-income first time homebuyers.
5	Project Name	SPARKS
	Target Area	City of Rock Hill
	Goals Supported	Support Public Service Programs
	Needs Addressed	Non-Housing Community Development
	Funding	CDBG: \$25,000
	Description	SPARKS is a combination of after-school programs and summer camps that provide recreational and educational activities for children and youth ages 3 to 18 with low to moderate income and/or disabilities. SPARKS also includes a program that provides recreational and educational opportunities to adults with disabilities. In addition, the City's Parks, Recreation, and Tourism (PRT) Department has added a REC in a Box vehicle to the SPARKS Programs. REC stands for Recreation, Education, and Community. This vehicle allows PRT to bring programming to low to moderate income areas and special needs groups.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	6004 low to moderate income children.
	Location Description	Emmett Scott Recreation Center in the City of Rock Hill
Planned Activities	SPARKS is a combination of after-school programs and summer camps that provide recreational and educational activities for children and youth ages 3 to 18 with low to moderate income and/or disabilities. SPARKS also includes a program that provides recreational and educational opportunities to adults with disabilities. In addition, the City's Parks, Recreation, and Tourism (PRT) Department has added a REC in a Box vehicle to the SPARKS Programs. REC stands for Recreation, Education, and Community. This vehicle allows PRT to bring programming to low to moderate income areas and special needs groups.	

6	Project Name	CACH- Homeless Assistance
	Target Area	City of Rock Hill
	Goals Supported	Alleviate Homelessness
	Needs Addressed	Homelessness
	Funding	CDBG: \$25,000
	Description	The Catawba Area Coalition for the Homeless (CACH) will offer programs for individuals and families that are currently homeless or at risk of becoming homeless. One activity will be providing funding for hotel/motel stays for homeless individuals, in order for them to have a bed for the night. The next activity that will be offered through CDBG funding will be funding for operating costs for homeless programs. This will include funding for an outreach coordinator to carry out programs for individuals that are homeless.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Families will be homeless or at risk of homelessness. Estimate assisting 48 individuals.
	Location Description	City of Rock Hill
Planned Activities	The Catawba Area Coalition for the Homeless (CACH) will offer programs for individuals and families that are currently homeless or at risk of becoming homeless. One activity will be providing funding for hotel/motel stays for homeless individuals, in order for them to have a bed for the night. The next activity that will be offered through CDBG funding will be funding for operating costs for homeless programs. This will include funding for a CACH coordinator to carry out programs for individuals that are homeless.	
7	Project Name	REDI Neighborhood Infrastructure Improvements
	Target Area	City of Rock Hill
	Goals Supported	Improve Public Infrastructure
	Needs Addressed	Non-Housing Community Development
	Funding	CDBG: \$15,000
	Description	Public Infrastructure Improvements to low- to moderate-income areas included in the Resource and Education Development Initiative (REDI) program.

	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	28 affordable rental units will be constructed through this project. All families will be at or below 80% AMI, about 112 people for the entire project.
	Location Description	Osceola Ave. in Catawba Terrace, City of Rock Hill
	Planned Activities	\$15,000 in CDBG funds will be used to provide construction oversight and engineering costs for the Osceola Project.
8	Project Name	Southside Home Improvement Program
	Target Area	City of Rock Hill
	Goals Supported	Maintain Existing Affordable Housing
	Needs Addressed	Affordable Housing
	Funding	CDBG: \$40,000
	Description	Rehabilitation assistance to low- to moderate-income homeowners whose house is over 50 years old and located within wards 1 & 5. Funding assistance will be provided to repair single-family, owner-occupied homes located in wards 1 and 5, which are predominantly LMI areas. Work will include facade and roof work or other exterior related work. with eligible housing deficiencies including electrical system hazards, plumbing hazards, structural system failures (porches, steps, and roofs), Project will include \$5,000 grants to homeowners in the form of a forgivable loan.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	Homeowner Housing Rehabilitated: 8 Household Housing Unit low to moderate income families.
	Location Description	Wards 1 & 5 in City of Rock Hill

	Planned Activities	Rehabilitation assistance to low- to moderate-income homeowners whose house is over 50 years old and located within wards 1 & 5. Funding assistance will be provided to repair single-family, owner-occupied homes located in wards 1 and 5, which are predominantly LMI areas. Work will include façade and roof work or other exterior related work. with eligible housing deficiencies including electrical system hazards, plumbing hazards, structural system failures (porches, steps, and roofs), Project will include \$5,000 grants to homeowners in the form of a forgivable loan.
9	Project Name	Neighborhood Signs Matching Grant
	Target Area	City of Rock Hill
	Goals Supported	Improve Public Infrastructure
	Needs Addressed	Non-Housing Community Development
	Funding	CDBG: \$10,000
	Description	Many older neighborhoods were formed without HOAs and don't have the financial capacity nor organizational structure to maintain or improve their neighborhood entrances. This neighborhood matching grant program will match neighborhoods falling within an LMI census tract to purchase, paint and install entrance signs to help improve the public infrastructure in these areas.
	Target Date	6/30/2024
	Estimate the number and type of families that will benefit from the proposed activities	6 neighborhood signs in LMI areas estimating about 600 people.
	Location Description	LMI areas in the City of Rock Hill
	Planned Activities	Purchase, paint and install entrance signs in older aging communities.

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

When deciding on projects for the upcoming CDBG year, the City of Rock Hill ensures the funding is being allocated to areas of the most need. The City of Rock Hill uses a map indicating low- to moderate-income (LMI) census tracts within the city limits as well as data from HUD to determine where assistance will be directed. The city of Rock Hill, SC is comprised of the following census tracts: 609.06, 609.04, 609.07, 609.05, 614.04, 605.01, 606, 607, 608.03, 608.02, 608.01, 608.04, 609.01, 601.02, 602, 605.02, 603, 613.01, 613.02, 604.01, 612.01, and 604.02. CDBG funded activities such as the Owner-Occupied Rehabilitation Program and the First Time Homebuyers Program provide LMI housing benefits to all eligible households within the city limits of Rock Hill. However, infrastructure improvements typically benefit all residents of a LMI area. The Osceola infrastructure improvements in the Catawba Terrace Neighborhood will benefit residents in census tract 608.03. The Neighborhood Signs Matching grant will be located in only LMI designated census tracts, which has been designated as having a low to moderate income population of 51% or greater. The Catawba Area Coalition for the Homeless works with service providers that support individuals that are homeless. All funding allocated to this group will benefit those in the City of Rock Hill that are currently experiencing homelessness.

Geographic Distribution

Target Area	Percentage of Funds
City of Rock Hill	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The City of Rock Hill allocates all funds to projects and activities serving low- to moderate-income residents. The City uses a map of low- to moderate-income census tracts and input from citizens and community development partners to ensure all funding allocations meet this requirement.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

The City of Rock Hill partners with the Housing Development Corporation of Rock Hill (HDCRH) to promote affordable housing opportunities for low- to moderate-income households through the construction of affordable housing units, rehabilitation of owner-occupied housing units, rehabilitation of acquired property for affordable housing, and assistance with the acquisition of affordable housing units. In addition, the City in partnership with HDCRH provides rental assistance to prevent homelessness.

One Year Goals for the Number of Households to be Supported	
Homeless	9
Non-Homeless	360
Special-Needs	0
Total	369

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	315
The Production of New Units	12
Rehab of Existing Units	30
Acquisition of Existing Units	12
Total	369

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

HDCRH’s most recent strategic plan lists a variety of goals and objectives for improving housing and economic opportunities for low- to moderate-income households. These include but are not limited to the following:

Goal: Be an advocate for housing efforts through informing, educating, and empowering the people of Rock Hill.

- Objective: Provide ancillary support for workforce housing efforts. Creating a workforce housing work group with other community partners. Meeting with housing developers about potential new workforce housing projects. Developing recommendations for how to incentivize mixed income housing projects. Hosting community conversations about the importance and

interconnectedness of livable wage employment and housing.

Goal: Support the development and expansion of all levels in the housing continuum.

- Objective: Increase the number of affordable housing units in Rock Hill. Expand the HDCRH Rental Program. Add at least 10 more affordable rental homes. Prepare plans to infill locations in REDI neighborhoods. Build at least 3 affordable homes for ownership.

The City of Rock Hill and HDCRH will continue using CDBG funds to rehabilitate approximately 30 owner-occupied housing units and provide down payment assistance to approximately twelve (12) first time homebuyers annually. The City has submitted additional applications for grant funding on behalf of HDCRH to leverage CDBG and other funding in order to increase Rock Hill's affordable housing opportunities.

During PY 2023, the City's Neighborhood Services (NS) Department will continue to conduct public outreach and education related to fair housing. Outreach and education includes addressing insufficient understanding of fair housing laws in order to address the fair housing goals identified in the City of Rock Hill's 2020 Analysis of Impediments to Fair Housing Plan. The City of Rock Hill's local television channel will continue to share information about the City's Fair Housing Hotline daily. Due to the closing of the South Carolina Fair Housing Center in 2016, NS refers potential South Carolina Fair Housing Law violations to the South Carolina Human Affairs Commission for investigation.

Through CDBG-CV funds, CACH has been able to award more than \$179,886 to local non-profits to provide rental & mortgage assistance to those that have been affected by COVID-19. Funding has assisted 82 families with rental assistance and 14 families with mortgage assistance.

CDBG-CV funds are also assisting local non-profits with homeless services in the form of hotel/motel vouchers for those experiencing homelessness and security services for non-profits who have seen an increase in services due to the pandemic. The program has assisted more than 300 individuals with hotel stays during a time of homelessness.

AP-60 Public Housing – 91.220(h)

Introduction

The City of Rock Hill does not directly provide public housing. However, the City does work closely with the Housing Authority of Rock Hill, which is responsible for providing safe, decent, and sanitary public housing and meeting the needs of public housing residents. The Housing Authority manages and maintains 339 public housing units within the city limits and an additional 30 public housing units in Great Falls, SC. The Housing Authority of Rock Hill also owns and manages eight (8) units of affordable housing, but the Housing Choice Voucher (HCV) Program is the largest program the Housing Authority administers. The HCV Program allows the Housing Authority to provide rental assistance to more than 500 low-income households. In 2022 the Rock Hill Housing Authority was awarded ten (10) additional HUD-VASH Vouchers to assist homeless veterans who wish to live in York County, SC. Totaling 34 HUD-VASH Vouchers in the City of Rock Hill. RHHA works in partnership with the Columbia VA Healthcare System (VAMC) to provide housing assistance in the form of a HUD-VASH Housing Choice Voucher which makes it possible for veterans to afford privately owned rental housing in our community. The City of Rock Hill will not be providing 2023-2024 CDBG entitlement funds to the Housing Authority of Rock Hill.

In 2022 the RHHA was awarded a \$600,000 grant to conduct radon testing and mitigation at their units. This will impact a total of 472 public housing residents, in an effort to ensure safe and stable housing.

Actions planned during the next year to address the needs to public housing

The Housing Authority of Rock Hill will continue regular inspections and preventative maintenance of public housing units in order to maintain a safe and decent living environment for residents. In addition, the Housing Authority will continue modernizing units upon vacancy with a particular focus on the kitchen and bathroom areas. Renovations include installation of energy-efficient appliances, water heaters, and HVAC systems to lower utility costs. The City of Rock Hill will not be providing 2023-2024 CDBG entitlement funds to the Housing Authority of Rock Hill.

Even though there continues to be a significant need for public housing in Rock Hill, the Housing Authority currently has no plans to increase the number of public housing units due to the Faircloth Amendment. This amendment stipulates that HUD cannot fund the construction or operation of new public housing units with Capital or Operating Funds if the construction of those units would result in a net increase in the number of units the Public Housing Agency (PHA) owned, assisted or operated as of October 1, 1999. An addition of public housing units in Rock Hill would exceed the Faircloth limit of 369 units for the Housing Authority of Rock Hill. However, the Housing Authority is looking into various options including the Choice Neighborhoods Initiative (CNI) and the Rental Assistance Demonstration (RAD) as a means of improving the condition of the current public housing stock through rehabilitation or demolition and reconstruction.

Actions to encourage public housing residents to become more involved in management and

participate in homeownership

The Housing Authority of Rock Hill will continue to host an annual public hearing to receive comments from residents on the programs administered by the Housing Authority. In 2001, the Housing Authority of Rock Hill established a Resident Council for residents to discuss planned programs, procedural changes, and funding opportunities with employees of the Housing Authority. The Council will continue to meet throughout the program year to encourage residents to become more involved in the management of public housing units. The City of Rock Hill will not be providing 2023-2024 CDBG entitlement funds to the Housing Authority of Rock Hill.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Housing Authority of Rock Hill is not designated as “troubled” or otherwise performing poorly; therefore, the City will not be providing financial or other assistance to the Housing Authority. In fact, Housing and Urban Development (HUD) recognized the Housing Authority of Rock Hill as a high performing housing authority with a score of 100 on the 2019 Section 8 Management Assessment Program (SEMAP) evaluation and 86 on the 2018 Public Housing Assessment System (PHAS) evaluation.

Discussion

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

In 2009, the City in partnership with the Catawba Area Coalition for the Homeless (CACH) began using the *10 Year Plan to Respond to Homelessness* to determine goals and actions for reducing and ending homelessness in the community. The City and CACH supported an update to the *10 Year Plan* in 2019, which resulted in a series of recommendations including a re-branding of the coalition's vision, mission, and values as well as significant changes to the organizational structure of the coalition. CACH's current strategic plan focuses on more strategically aligning with the Midlands Area Coalition for the Homeless (MACH), creating a strategy to include Lancaster and Chester counties in the coalition, adapting to focus on affordable housing as well as homelessness, and making changes to the overall role of the coalition. In 2023 CACH hired two personnel, an Operations Coordinator and Outreach Coordinator to forward the mission and vision of CACH.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Rock Hill will continue to participate in the annual Point-in-Time (PIT) count of sheltered and unsheltered homeless persons on a single night in January. Starting in 2023, CACH began organizing the PIT count of York County. The data from the PIT count is used to inform the City's strategies to address homelessness in the community. In addition, CACH hired an Outreach Coordinator in order to understand the needs of the homeless individuals in the community and how best to serve them and the community at large. CACH conducts various outreach and education opportunities including Hunger and Homelessness Awareness Week to provide members of the community with a better understanding of how to interact and serve homeless persons.

Addressing the emergency shelter and transitional housing needs of homeless persons

The Catawba Area Coalition for the Homeless (CACH) is a non-profit coalition of organizations in York, Chester, and Lancaster Counties of South Carolina that provide homelessness services such as emergency shelter, transitional housing, veterans services, counseling, physical and mental health services, and substance use treatment. CACH identified a lack of emergency shelter for men, women, and children during the 2019 needs assessment. Bethel Men's Shelter increased their shelter capacity to 37 beds and extended their services to homeless men from 3-4 months to year-round. In addition, The Haven Men's Shelter relocated to Pathways Community Center, which increased their shelter capacity from 12 to 24 beds. However, these increases in capacity address the transitional housing needs of homeless persons as opposed to the emergency shelter needs.

The need for an emergency shelter for women has been an ever-present concern in the Catawba region. In the beginning of 2021, the Life House Women’s Shelter was opened in Rock Hill to provide safe shelter for women and children, a warm meal, fellowship, and a starting point on the continuum of care for homeless women. The Life House Women’s Shelter can serve 10-12 women and children in the home at a time and operates an emergency shelter called “The Cottage” which has served 90 women for nightly stays. In 2023 the Life House Women’s Shelter opened another emergency shelter called “the Home” which can serve up to 18 women and 6 children. Along with funding from CDBG-CV has been able to assist an additional 60 women and 25 children with a hotel voucher.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Rock Hill’s affordable housing partner, HDCRH, has been awarded Continuum of Care (CoC) funds through the Midlands Consortium for the Homeless (MACH) in order to re-establish a rapid rehousing program in Rock Hill, SC. HDCRH was recently awarded \$31,716 for one (1) year and required to match those funds with an additional \$7,929. This program will specifically target residents of local emergency shelters in order to shorten the period of time that those individuals and families experience homelessness as well as help them make the transition to permanent housing and independent living. The HDCRH has a goal of serving nine (9) households with RRH funds.

The City’s partnership with HDCRH and the Housing Authority of Rock Hill increases resources available to homeless persons and those at risk of homelessness through rental assistance and affordable housing opportunities. In 2022, CACH initiated an assessment of the needs of homeless persons in the area, which resulted in The Summary on Housing and Homelessness in York County. This summary revealed the weaknesses for York County being the lack of funding/resources, lack of affordable housing and lack of permanent supportive housing. The HDCRH has responded to this need by designating one of their unrestricted rental homes as a Permanent supportive housing unit for a 2-year feasibility study. This program is currently in it’s second year and the family remains in the unit and thriving. The HDCRH has also set a goal of six (6) permanent supportive housing units in York County by 2027.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

In PY 2017, the City partnered with the Housing Development Corporation of Rock Hill (HDCRH) to pursue grant funding to establish a Rental Assistance Program as a means of preventing homelessness. HDCRH was initially awarded \$7,715 from ESG and \$10,000 from the Sisters of Charity Foundation to provide rental assistance. Due to the success of HDCRH's initial program, HDCRH received \$25,000 in ESG funds in PY 2018, \$75,000 in PY 2019 and \$35,000 in PY 2020 to expand the Rental Assistance Program. With the pandemic came extra Coronavirus funding from ESG-CV. The HDCRH was awarded \$211,626 in round 1 and \$500,919.36 in round 2 to provide short term rental, medium term rental, utility payments and utility deposits to low-to-moderate individuals in Rock Hill School district limits. In FY22 the HDCRH was awarded \$35,000 in ESG funding for rental and utility assistance. Along with this funding the HDCRH was awarded Emergency Food and Shelter Program funding through the United Way of York County, to provide rent, utility and mortgage assistance.

The overall collaboration of CACH allows agencies to better coordinate discharge planning and help low and extremely low-income families such as those being discharged from a publicly funded institution or system of care to avoid becoming homeless. Agencies like Pilgrim's Inn and the Children's Attention Home currently have programs that address individuals being discharged from mental health facilities and foster care or other youth facilities. The Children's Attention Home is presently working on expanding their footprint by building a new house for young adults aged 17-20. The City's *10 Year Plan to Respond to Homelessness* includes an objective to create a model of collaborative discharge planning from local institutions such as health care facilities and mental health institutions in order to further prevent individuals from being released directly to the streets. In order to meet this objective, the City of Rock Hill and CACH will need to continue to increase collaboration with publicly funded institutions, systems of care, and assistance agencies in PY 2023.

Discussion

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

A variety of barriers exist which make increasing affordable housing within the City of Rock Hill a challenge:

1. Local earnings are not keeping pace with rising housing costs due in part to Rock Hill's proximity to Charlotte, NC.
2. Increasing cost of land due to decrease in vacant land for future growth.
3. Increasing construction costs.
4. Inability of supportive resources for affordable housing, such as the Housing Choice Voucher Program, to meet the need within the community.
5. Homeownership is out of reach for many residents.
6. Development barriers including impact fees, taxation of rental units, and community opposition.
7. Impediments to Fair Housing including discrimination based on race directly related to rental housing, higher than average home loan application denial rates for minority and low-income households, and limitations in the public transit options available to Rock Hill residents.
8. The pandemic has created a "sellers market" which is making it hard for buyers to compete with others looking for the same type of houses.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The City is addressing barriers to affordable housing through the following actions:

The City's Planning and Development Department continues to work closely with the Neighborhood Services Department to discuss the barriers and identify ways to remove barriers to affordable housing while still meeting the City's other land use policy goals.

The City's Economic and Urban Development Department and HDCRH have created affordable and workforce housing groups with other community partners and private developers to engage and incentivize development of mixed income housing.

The City continues to implement suggested actions from the City of Rock Hill's Analysis of Impediments to Fair Housing Choice including educating homebuyers through credit counseling and home purchase training, educating property owners and property management on fair housing law, and educating housing consumers on fair housing rights.

Discussion:

AP-85 Other Actions – 91.220(k)

Introduction:

Actions planned to address obstacles to meeting underserved needs

The City has identified several obstacles to projects intended to improve the quality and quantity of affordable housing and other community development needs. Infrastructure projects are an area where extremely high construction costs have become an obstacle. In order to accomplish more with the available funding, the City has opted to use in-house labor to complete as many infrastructure projects as possible. In addition, the City is always looking for alternative funding to leverage these infrastructure projects.

Homeownership continues to be a challenge for low- to moderate-income individuals and families. The City's First Time Homebuyer Program continues to provide down payment and/or closing costs to low- to moderate-income (LMI) households with funding from CDBG and the South Carolina State Housing Finance and Development Authority. Unfortunately, an overall lack of affordable housing and a housing market which has more buyers than sellers has resulted in higher housing prices and low- to moderate-income clients are being out bid for housing within their price range. The Housing Development Corporation of Rock Hill (HDCRH) has lessened the eligibility requirements and revised other aspects of the First Time Homebuyer Program in order to better assist potential LMI homebuyers. In addition to financial assistance, HDCRH also provides education on various topics including financial literacy and homeownership to help potential homeowners achieve their goals. When possible, HDCRH will continue to purchase residential lots for the development of affordable homeownership opportunities.

One obstacle the Catawba area has is ensuring every person experiencing homelessness is put on the prioritization list and has access to services along the continuum. One way the City has addressed this obstacle is by providing CDBG funds to the Catawba Area Coalition for the Homeless (CACH) to promote the use of the Coordinated Entry System.

Actions planned to foster and maintain affordable housing

The City of Rock Hill will continue to partner with the Housing Development Corporation of Rock Hill (HDCRH) to foster and maintain affordable housing. Actions planned to foster and maintain affordable housing include providing homebuyer opportunities, assisting homeowners with housing repairs, and promoting fair housing. The City in partnership with HDCRH will continue to provide homebuyer education, offer down payment and/or closing cost assistance, acquire and rehabilitate existing properties, support the construction of additional affordable housing, rehabilitate existing owner-occupied housing, and conduct fair housing outreach. Due to current housing market conditions, Rock Hill has seen a decrease in affordable housing and anticipates a continued decrease. However, HDCRH's affordable housing inventory has remained steady. During the 2023 Program Year, HDCRH plans to

continue promoting permanent supportive housing in York County by designating a second rental unit for this program. HDCRH will also begin construction on the Osceola Neighborhood Development, which will include 28 affordable rental units for families at or below 80% AMI.

Actions planned to reduce lead-based paint hazards

According to the 2015 International Property Maintenance Code, interior surfaces that contain lead-based paint may present serious health hazards to occupants, especially children. HUD estimates that three-quarters of the dwellings built before 1980 contain some lead-based paint. Intact lead-based paint is not an immediate hazard because the predominant route of lead poisoning is through the ingestion or inhalation, so the risk of poisoning becomes significant when lead-based paint contaminates dust through peeling, chipping, flaking and abraded conditions. Lead contamination may also be caused by lead-based paint that is disturbed during repair and remodeling activities.

The City in partnership with the Housing Development Corporation of Rock Hill (HDCRH) will continue to cooperate with the EPA to reduce lead-based paint hazards and promote lead safe work practices. HDCRH's Rehabilitation Manual discusses the actions taken to reduce lead-based paint hazards during the rehabilitation of homes. These actions are listed in the Con Plan section SP-65. The City and HDCRH also provide technical assistance to contractors and businesses involved in the rehabilitation of housing units regarding safe lead-based paint practices. The City's Neighborhood Services (NS) Department employees and supervisors are trained and certified to undertake activities specifically intended to abate or control lead-based paint hazards. NS also provides education on lead hazard awareness and hazard control information to contractors, property owners, homeowners, and renters.

The City of Rock Hill does not have code enforcement that applies to lead-based paint and therefore defers to EPA and DHEC regulations when addressing lead-based paint hazards.

Actions planned to reduce the number of poverty-level families

Access to safe and affordable housing is recognized as an important component of a comprehensive effort to reduce poverty. That effort should also include expanding safety-net options for families in crisis, promoting savings and asset accumulation, improving the consumer environment in poor neighborhoods, increasing access to education and training, and improving access to work supports. One of the City's main goals is improving the quality and quantity of affordable housing. The City's affordable housing, public housing, and community development initiatives focus on accomplishing this goal. The City continues to focus significant resources, including CDBG funding, on the rehabilitation and creation of affordable housing for low- to moderate-income individuals and families by supporting programs like Owner-Occupied Rehabilitation, First Time Home Buyer, and Acquisition Rehabilitation. During PY 2023 the City promoted the creation of the latest project Southside Home Improvement Program, which will focus on improving housing conditions for those that live in the Southside of Rock Hill which remains predominantly low-income. The City will continue to

partner with the Housing Development Corporation of Rock Hill (HDCRH) to pursue other revenue sources and purchase residential lots to create more affordable housing opportunities.

The availability of public transportation is also a crucial part of the plan to reduce poverty-level families in Rock Hill. A lack of reliable and efficient transportation is often a huge barrier for low-income people trying to achieve economic stability. In July 2019, the City of Rock Hill began the operation of the City's first free, fixed-route public transit system. Bus routes were heavily influenced by citizen input from low- to moderate-income neighborhoods and areas of the city in order to ensure that these areas were well connected to health care facilities, support services, and education and employment opportunities. The transportation system is used by over 2,000 individuals monthly. Expansion of the transit system including adding new routes, adding buses to existing routes in order to shorten run and wait times, and extending the current hours of operation is being evaluated daily.

Actions planned to develop institutional structure

The City of Rock Hill is the lead agency responsible for carrying out the objectives outlined in the Strategic Plan. The gradual yet significant reduction in CDBG funding prompted the City to revise the jurisdiction's institutional structure and limit subrecipients to City Departments and City affiliates such as the Housing Development Corporation of Rock Hill (HDCRH), the Catawba Area Coalition for the Homeless and the Rock Hill Economic Development Corporation (RHEDC). Limited subrecipients has created a more manageable and efficient CDBG program while still allowing the City to fund a wide variety of projects that meet the objectives outlined in the Strategic Plan. In PY 2017, Rock Hill City Council made the decision to allocate funds to an additional subrecipient in order to further the City's response to homelessness. The Catawba Area Coalition for the Homeless (CACH) consists of over 20 local non-profits and faith-based agencies committed to addressing the issue of homelessness in the city of Rock Hill. The City had previously partnered with CACH to create the *City's 10 Year Plan to Respond to Homelessness*.

Actions planned to enhance coordination between public and private housing and social service agencies

The City, in conjunction with the Catawba Area Coalition for the Homeless (CACH), meets monthly to enhance coordination between public and private housing and social service agencies. CACH is comprised of more than 20 local non-profit and faith-based agencies, including the Housing Authority of Rock Hill and the Housing Development Corporation of Rock Hill (HDCRH), which are committed to addressing the issue of homelessness and affordable housing in our community. These meetings provide a forum for service providers throughout Rock Hill to discuss needs, concerns, resources, and goals. Please refer to section AP-10 of the Annual Action Plan for more detail on coordination and consultation.

Discussion:

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

The City of Rock Hill does not anticipate receiving any program income and 100% of the CDBG funds will benefit individuals that are low to moderate income.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

All CDBG funding will be used to benefit persons of low and moderate income.

Attachments

HOW DO I ...

Community Development Block Grant Updates



PUBLIC NOTICE CITY OF ROCK HILL

2023-2024 COMMUNITY DEVELOPMENT BLOCK GRANT

The City of Rock Hill has received an annual allocation of \$533,380 in Community Development Block Grant (CDBG) funds from the U.S. Department of Housing and Urban Development (HUD) for the 2023-2024 CDBG Program. The City has developed a proposed plan in keeping with the following priority needs as identified in the City's 2020-2024 Consolidated Plan: (1) Improve public infrastructure; (2) Increase affordable housing opportunities; (3) Maintain existing affordable housing; (4) Alleviate homelessness; and (5) Support public service programs. The proposed use of funds has been developed in accordance with regulations published by HUD and gives maximum feasible priority to activities that benefit low- and moderate-income persons.

The following are the proposed activities and estimated funding amounts for the 2023-2024 Community Development Block Grant Program.

Rock Hill Parks, Recreation & Tourism – SPARKS = \$25,000

Funds will support recreational and educational services for disadvantaged and handicapped children, youth, and adults.

City of Rock Hill - Saluda Street Improvements = \$172,219

Funds will repay previous HUD Section 108 loan.

Housing Development Corporation of Rock Hill – First Time Homebuyer Program = \$70,000

Funds will provide down payment and closing cost assistance for first time homebuyers.

Housing Development Corporation of Rock Hill – Owner Occupied Rehabilitation = \$100,000

Funds will support the rehabilitation of privately owned single-unit homes.

Housing Development Corporation of Rock Hill – Owner Occupied Rehabilitation-Volunteer Projects = \$40,000

Funds will support the summer volunteer projects that are coordinated to provide rehabilitation of privately owned single-unit homes.

Housing Development Corporation of Rock Hill – Southside Home Improvement Program (SHIP) = \$40,000

Funds will support the rehabilitation of exterior house items of privately owned single-unit homes.

Osceola Expansion Infrastructure Development = \$15,000

<https://www.cityofrockhill.com/departments/economic-urban-development/community-development/community-development-block-grant/community-de...> 1/2

Funds will be used to support the expansion of the Osceola neighborhood to include affordable rental homes.

City Management – Administration = \$10,000

Funds will provide for the administration of the CDBG program.

Catawba Area Coalition for the Homeless – Homeless Assistance= \$25,000

Funds will be used to support projects benefiting individuals experiencing homelessness in the City of Rock Hill.

NS-Neighborhood Signs Matching Grant=\$10,000

Funds will be used as matching grants for neighborhoods located in LMI areas to purchase, paint, and install entrance signs that are old and aging.

Tools Fork Watershed Project = \$26,161

Funds will be used to complete a watershed plan for the Tools Fork watershed, which drains into the Catawba River.

Total: \$533,380

In order to solicit comments from community residents regarding the proposed plans, a public comment period will begin April 5, 2023 and end May 5, 2023. To submit comments or to request more information, please contact Ms. Corinne Sferrazza at (803) 326-2463 or by mail at:

City of Rock Hill

Community Development Division c/o Corinne Sferrazza

PO Box 11706

Rock Hill, SC 29731-1706

The City does not anticipate the displacement of any person as a result of CDBG-funded activities. The City has developed a plan that will mitigate any adverse effects and provide appropriate compensation should displacement occur. The plan is available by request from the City of Rock Hill City Manager's Office.


Published by order of David Vehaun, City Manager

Grantee SF-424's and Certification(s)

OMB Number: 4840-0044
Expiration Date: 11/30/2020

Application for Federal Assistance SF-424			
* 1. Type of Submission:		* 2. Type of Application:	
<input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Change/Corrected Application		<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>	
* 3. Date Received:		4. Applicant Identifier:	
<input type="text"/>		<input type="text"/>	
5a. Federal Entity Identifier:		5b. Federal Award Identifier:	
<input type="text"/>		EA <input type="text"/>	
State Use Only:			
6. Date Received by State:		7. State Application Identifier:	
<input type="text"/>		<input type="text"/>	
8. APPLICANT INFORMATION:			
* a. Legal Name: <input type="text" value="City of Rock Hill"/>			
* b. Employer/Taxpayer Identification Number (EIN/TIN):		* c. UEI:	
<input type="text" value="53-6300244"/>		<input type="text" value="437880254825"/>	
d. Address:			
* Street1:	<input type="text" value="PO Box 11706"/>		
Street2:	<input type="text"/>		
* City:	<input type="text" value="Rock Hill"/>		
County/Parish:	<input type="text"/>		
* State:	<input type="text" value="SC: South Carolina"/>		
Province:	<input type="text"/>		
* Country:	<input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code:	<input type="text" value="29733-1706"/>		
e. Organizational Unit:			
Department Name:		Division Name:	
<input type="text" value="City Management"/>		<input type="text" value="Housing & Community Development"/>	
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	<input type="text" value="Hon."/>	* First Name:	<input type="text" value="Carolina"/>
Middle Name:	<input type="text" value="A."/>		
* Last Name:	<input type="text" value="Stevenson"/>		
Suffix:	<input type="text"/>		
Title: <input type="text" value="Housing and Community Development Manager"/>			
Organizational Affiliation: <input type="text"/>			
* Telephone Number:		* Fax Number:	
<input type="text" value="803-306-2663"/>		<input type="text"/>	
* Email: <input type="text" value="Carolina.Stevenson@cityofrockhill.com"/>			

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type:	
City or Township Government	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Select Applicant Type:	
* Other (specify):	
* 10. Name of Federal Agency:	
Department of Housing and Urban Development	
11. Catalog of Federal Domestic Assistance Number:	
14.214	
CDA Title:	
Community Development Block Grants/ Entitlement Grants	
* 12. Funding Opportunity Number:	
* Title:	
13. Competition Identification Number:	
Title:	
14. Areas Affected by Project (Cities, Counties, States, etc.):	
	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
* 15. Descriptive Title of Applicant's Project:	
Community-based activities including public facilities improvements, public services, rehabilitation of residential structures, and first-time home buyer's assistance.	
Attach supporting documents as specified in agency instructions.	
<input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="80-005"/>	* b. Program/Project: <input type="text" value="80-005"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2023"/>	* b. End Date: <input type="text" value="06/30/2024"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="533,396.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="533,396.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input checked="" type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text" value="05/10/2023"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
<small>** The list of certifications and assurances, or an internal site where you may obtain this list, is contained in the amendment or agency specifications/conditions.</small>	
Authorized Representative:	
Prefix: <input type="text" value="000"/>	* First Name: <input type="text" value="David"/>
Middle Name: <input type="text" value="E"/>	
* Last Name: <input type="text" value="Vehaban"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="803-328-5057"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="David.Vehaban@cityofrockhill.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/10/23"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4948-0029
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:


1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4705) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4001 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1601-1653, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-256), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 4240 (Rev. 7-87)
Prescribed by OMB Circular A-132

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§275a to 275a-7), the Copeland Act (40 U.S.C. §275c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11730; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1956, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§169a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 105(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE City Manager
APPLICANT ORGANIZATION City of Brea, CA	DATE SUBMITTED 5/16/23

SF-424D (Rev. 7-97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.



Signature of Authorized Official

5/10/23

Date

City Manager

Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2023, 2024 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K, and R.

Compliance with Laws -- It will comply with applicable laws.

D. O'Brien
Signature of Authorized Official

5/10/23
Date

City Manager
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.